FISEVIER

Contents lists available at ScienceDirect

# International Journal of Hygiene and Environmental Health

journal homepage: www.elsevier.com/locate/ijheh



# Monitoring drinking water, sanitation, and hygiene in non-household settings: Priorities for policy and practice



Ryan Cronk<sup>a</sup>, Tom Slaymaker<sup>b</sup>, Jamie Bartram<sup>a,\*</sup>

- <sup>a</sup> The Water Institute, University of North Carolina at Chapel Hill, United States
- <sup>b</sup> WaterAid UK, London, United Kingdom

#### ARTICLE INFO

Article history:
Received 7 September 2014
Received in revised form 16 February 2015
Accepted 4 March 2015

Keywords:
Health care facilities
Monitoring and evaluation
Post-2015 Sustainable Development Goals
(SDGs)
Schools
Typology
Workplaces

#### ABSTRACT

Inadequate drinking water, sanitation, and hygiene (WaSH) in non-household settings, such as schools, health care facilities, and workplaces impacts the health, education, welfare, and productivity of populations, particularly in low and middle-income countries. There is limited knowledge on the status of WaSH in such settings. To address this gap, we reviewed international standards, international and national actors, and monitoring initiatives; developed the first typology of non-household settings; and assessed the viability of monitoring. Based on setting characteristics, non-household settings include six types: schools, health care facilities, workplaces, temporary use settings, mass gatherings, and dislocated populations. To-date national governments and international actors have focused monitoring of nonhousehold settings on schools and health care facilities with comparatively little attention given to other settings such as workplaces and markets. Nationally representative facility surveys and national management information systems are the primary monitoring mechanisms. Data suggest that WaSH coverage is generally poor and often lower than in corresponding household settings. Definitions, indicators, and data sources are underdeveloped and not always comparable between countries. While not all countries monitor non-household settings, examples are available from countries on most continents suggesting that systematic monitoring is achievable. Monitoring WaSH in schools and health care facilities is most viable. Monitoring WaSH in other non-household settings would be viable with: technical support from local and national actors in addition to international organizations such as WHO and UNICEF; national prioritization through policy and financing; and including WaSH indicators into monitoring initiatives to improve cost-effectiveness. International consultations on targets and indicators for global monitoring of WaSH post-2015 identified non-household settings as a priority. National and international monitoring systems will be important to better understand status, trends, to identify priorities and target resources accordingly, and to improve accountability for progressive improvements in WaSH in non-household settings.

© 2015 Elsevier GmbH. All rights reserved.

E-mail address: jbartram@unc.edu (J. Bartram).

# Introduction

Inadequate drinking water, sanitation, and hygiene (WaSH) in non-household settings, such as schools, health care facilities, and workplaces impacts the health, education, welfare, and productivity of populations, particularly in low- and middle-income countries. These impacts disproportionately affect certain types of people. For example, a lack of gender separated toilets at schools impacts attendance of girls (Adukia, 2013). Disabled persons make up 15% of the global population (WHO, 2011a) and face physical and social barriers related to accessing WaSH, potentially preventing them from attending school, gaining employment, and using public services and amenities (Groce et al., 2011). Vulnerable populations such as immuno-compromised persons, expectant mothers, and infants frequent health care facilities (HCF) where they are

Abbreviations: EMIS, Educational Management Information System; DESSAP, District Level Environmental Strategies and Action Plan; GLAAS, UN-WaterGlobal Analysis and Assessment of Sanitation and Drinking-Water; HCF, health care facility; HMIS, Health Management Information System; JMP, WHO/UNICEFJoint Monitoring Programme; MDG, Millennium Development Goals; NGO, non-governmental organization; SARA, Service Availability and Readiness Assessment; SDI, Service Delivery Indicators; SPA, Service Provision Assessment; UN, United Nations; UNESCO, United Nations Education, Scientific and Cultural Organization; UNICEF, United Nations Children's Fund; WaSH, water, sanitation, and hygiene; WHO, World Health Organization.

<sup>\*</sup> Corresponding author at: 135 Dauer Drive, CB# 7431, Chapel Hill, NC 27599, United States. Tel.: +1 919 966 2480; fax: +1 919 966 7911.

often exposed to inadequate WaSH and environmental conditions (Allegranzi et al., 2011). Improper management of human excreta from sick patients in HCF poses a potential public health hazard to people in the HCF and nearby communities. Transmission of infectious disease in non-household settings may have the potential to cause larger epidemics as compared to household settings (Cairncross et al., 1996).

Despite their importance, non-household settings have not been included in international WaSH monitoring to-date (Bartram, 2008). Millennium Development Goal (MDG) Target 7c, which aims to "halve the proportion of people without access to water and sanitation" between 1990 and 2015, is only applied to household settings. The 2014 UN-Water GLAAS report, a biannual survey, identified less than one third of 94 countries have policies, plans, and coverage targets in place for schools and health care facilities (WHO, 2014). However, WaSH in non-household settings has gained increased attention from the international development and public health communities (Bradley and Bartram, 2013). The UN Special Rapporteur on the human right to safe drinking water and sanitation has identified the provision of drinking water, sanitation, and hygiene (WaSH) in non-household settings as an important means for advancing human rights (UN Special Rapporteur on the Human Right to Safe Drinking Water and Sanitation, 2012). Other stakeholders have identified the provision of WaSH in schools and health care facilities as priorities (UNICEF, 2012; WHO, 2015). Expanding coverage to unserved non-household settings and monitoring the services provided are important development objectives post-2015.

National and international monitoring of WaSH in non-household settings is important to inform policy and investment strategies, to benchmark service quality, and to measure, compare and report progress among countries (Bradley and Bartram, 2013). However, there is limited knowledge on the status of WaSH in non-household settings and the evidence for monitoring. To address this gap, we conducted a review of WaSH in non-household settings, developed a typology of settings and assessed the viability of monitoring by examining evidence, international standards, national and international actors, and available monitoring initiatives.

#### Methods

A list of search terms associated with non-household settings were developed through literature searches and consultation with experts on the WHO/UNICEF Joint Monitoring Programme (JMP) post-2015 working groups for water, sanitation, hygiene and equity and non-discrimination. We reviewed PubMed and Google Scholar, using the terms "drinking water," "sanitation," and "hygiene" in combination with search terms associated with non-household settings (Table 1) and terms related to monitoring, evaluation, policy, guidelines, best practice, and standards. Using the same terms, we searched for and reviewed gray literature publications and associated data sets from United Nations (UN) specialized agencies, bilateral and multilateral donors, non-governmental organizations (NGOs), national governments, networks such as the International Household Survey Network and the International Health Facility Assessment Network, and research institutions such as the Institute for Health Metrics and Evalua-

Based on attributes of settings identified through the literature search, we developed a typology to organize and evaluate non-household settings. A typology is collectively exhaustive, where all settings are assigned a type, and mutually exclusive, where each setting is only part of one type (Bailey, 1994). The attributes used to develop the typology include populations who use the settings

(e.g. children, sick people, working adults), length of exposure to inadequate WaSH while in the setting (e.g. temporary use throughout a lifetime), total population affected (e.g. sum of people using each facility) and additional risk factors that are specific to each setting (e.g. large temporary gathering, involuntarily relocated to the setting, absence of alternative facilities).

#### Results

Typology for settings and monitoring initiatives

No other non-household settings-based typology was discovered through the course of conducting this review. Six setting types are identified: schools, health care facilities, workplaces, temporary use settings, mass gatherings, and dislocated populations. Table 2a lists non-household settings organized by the typology with examples, the population multiplier, and definitions of settings from literature. The population multiplier is the sum of people using an individual facility (e.g. the number of students and teachers at a primary school). Collecting a population multiplier for individual facilities in addition to WaSH indicators allows for the creation of a population-based estimate of coverage (e.g. national coverage statistics) rather than a facility-based estimate. Table 2b lists, for each setting, the principal international actor(s) (those with a formal mandate), principal national actor(s), available international standards and/or guidelines, and any systematic reviews conducted for the setting that describe the evidence related to health and/or non-health related outcomes from WaSH. National and international actors are those that provide support for policy, guidelines, standards, monitoring, evaluation, and practice.

We define public WaSH facilities to be those that are not attached or affiliated with one of the other settings described in this typology and include places such as standalone facilities in parks, slums, and other publicly accessible spaces. Shared facilities, such as household or community shared sanitation facilities, are not considered public since their use is restricted to certain households.

Characteristics of non-household monitoring initiatives that collect WaSH data are grouped by national and sub-national initiatives in Table 3. Sub-national initiatives include local government monitoring, surveys that cover regions of a country, and program/project monitoring.

# Nationally representative monitoring initiatives

For school monitoring, national Ministries of Education frequently use Educational Management Information Systems (EMIS) designed by the United Nations Education, Scientific and Cultural Organization (UNESCO) for use by developing countries (Table 3) (Carrizo et al., 2003). To collect data for EMIS, a census is distributed by the Ministry of Education annually to schools, generally all schools, including public and private and both primary and secondary. A principal, head teacher, or district official completes the census for each school and the resulting data are aggregated nationally in a database by the Ministry of Education (UNICEF, 2011). UNESCO provides recommended questions for the questionnaires but they are customizable to reflect national conditions (Carrizo et al., 2003).

EMIS censuses generally contain few WaSH indicators. The census instrument typically includes questions on the number of students per toilet, the availability of separate sanitation facilities for boys and girls, and access to an improved drinking water source on or near the school campus (UNICEF, 2011). Because the

**Table 1**List of search terms associated with non-household settings.

Setting	Associated search terms	
Schools	Nursery, daycare, kindergarten, primary/secondary/boarding/day school, university	
Health care facilities	Hospital, health center, clinic, asylum, dental surgery, general practitioner facility, maternities, nursing home,	
	psychiatric hospital, voluntary counseling and testing (VCT) facility	
Workplaces	Farm, military base, municipal building, office, office park, factory, agriculture	
Restaurants	Cafeteria, canteen, fast food, restaurant, bakery	
Hotels	Accommodation, accommodation types, hotel, inn, motel	
Transit hubs	Rail, bus, train, ship port, station, lorry park, bus stop, railway	
Markets	Food market, grocery	
Places of worship	Church, mosque, synagogue, temple, chapel, masjid, musjid, shrine, tabernacle	
Public WaSH facilities	Public toilet, pay-and-use toilet, community toilet, drinking-water fountain	
Mass gatherings	Mobile food vendors, Hajj, Olympics (Athens, London, Beijing), World Cup, soccer, football, State events (e.g. funeral,	
	inauguration), festival, temporary event	
Internally displaced persons camps	IDP, emergency, disaster, disaster-response	
Refugee camps	Refugee, shelter, refugee community	
Prisons	Detention, penal, reformatory, penitentiary, incarceration, jail	
Orphanages	Orphan asylum, group homes, children homes, refuges, rehabilitation centers, night shelters, youth treatment center	

census questions are often customized nationally, the data are not necessarily comparable between countries.

Health Management Information Systems (HMISs) are health care facility monitoring systems that generate facility level data and often allow for sub-national and national level data aggregation. HMISs collect a range of health related indicators such as malaria prevalence and number of beds available per hospital. Some of these systems collect health care facility infrastructure indicators such as drinking water and sanitation (WHO, 2010).

Other health care facility monitoring initiatives include Service Provision Assessments (SPAs), Service Availability and Readiness Assessments (SARAs), and Service Delivery Indicators (SDIs) all of which examine the status of health service delivery. Indicators of infrastructure status including drinking water and sanitation are collected in addition to information on availability of handwashing stations and availability of infection prevention items. These initiatives are designed to be used in countries worldwide and are conducted by national ministries (usually the ministry of health and the national statistical office), often in collaboration with external support agencies. Indicators are comparable between SPA, SARA, and SDI as a result of coordination between institutional survey providers (WHO, 2011c; O'Neill et al., 2013). SDI surveys collect nationally representative WaSH indicators for both health and education facilities.

Other non-household monitoring initiatives that do not include WaSH indicators are Enterprise Surveys. The World Bank conducts Enterprise Surveys which examine formal and informal work settings. They gather data at the firm-level on topics such as sales, infrastructure, technology, and performance measures (World Bank, 2014).

# Sub-nationally representative monitoring initiatives

There are several types of sub-national monitoring initiatives including local government monitoring, multi-district targeting surveys, and program/project monitoring. Tools such as the Integrated Management for Emergency and Essential Surgical Care assessment have been used by WHO and others to examine health care facility status including data on drinking water access in HCF (Spiegel et al., 2011). Local governments often monitor the status of non-household WaSH but these data are infrequently publicly available. For example, the Ghana District Level Environmental Strategies and Action Plan (DESSAP) includes data on sanitation facilitates in many non-household settings such as hotels, restaurants, schools, and markets, but the DESSAP documents are hand written, stored in hard copy, and typically not available outside district offices (Ministry of Local Government, 2007).

Coverage data for WaSH in non-household settings

Coverage of WaSH in non-household settings is often low. UNICEF reports an unweighted water coverage average in primary schools of 69% from 134 reporting UNICEF program countries and 47% in 54 least developed and low income program countries. Sanitation coverage averages are 67% for all program countries and 46% for least developed and other low income program countries (UNICEF, 2014). WHO reports weighted average coverage for WaSH in health care facility coverage to be 62% for an improved water source (e.g. a technology classification for sources that protect water from outside contamination) within 500 meters based on data from 54 countries, 81% for sanitation on-site (based on 36 countries), and 65% for soap for hand washing (based on 35 countries) (World Health Organization, 2015). Table 4 lists the status of WaSH in non-household settings from select countries.

Conditions in non-household settings may be worse than indicators suggest. For example, the improved source indicator does not consider quality. Estimates suggest more than a quarter of improved sources contain fecal contamination (Bain et al., 2014). Further, evidence suggests water quality may be worse in the wet season and may be of significantly worse quality when comparing the source to storage at the point of use (Kostyla et al., 2015; Shields et al., 2015). Many of the global coverage figures do not consider year-round reliability of water supplies. In health care facilities, estimates suggest coverage decreases by half when year-round reliability is considered. Toilet facilities are often locked rendering them unavailable to patients and staff (World Health Organization, 2015). Challenges in schools include insufficient student-to-toilet ratios and a lack of gender segregated facilities (UNICEF, 2014).

# Discussion

We developed a typology to categorize non-household settings and assessed the viability of monitoring by examining the evidence base, international guidelines and standards, national and international actors, and available monitoring initiatives. The typology distinguishes characteristics of setting types and reveals the wide array of available evidence, actors, standards, and data collection initiatives as well as gaps that need to be addressed in order to improve the situation.

Few initiatives monitor WaSH in non-household settings other than schools and health care facilities. While sometimes incomplete and not always comparable, data indicate WaSH coverage is often low in these settings. The 2014 GLAAS report suggests WaSH in HCF is largely neglected in terms of national target setting and planning (World Health Organization, 2014). However, countries

**Table 2a**Characteristics of non-household settings relevant for WaSH monitoring.

Settings		Examples of settings	Population multiplier	Definition of the setting
Schools		Daycare, nurseries, kindergarten, primary and secondary schools, universities	School children and staff	"Includes primary and secondary schools, boarding and day schools, rural and urban schools, and public and private schools" (World Health Organization, 2009, p. 1)
Health care facilities	rs	Hospital, health center, clinic, dental surgery, general practitioner facility	Patients and staff	"Health-care settings include hospitals, health centers, clinics, dental surgeries and general practitioner facilities" (World Health Organization, 2008a, p. 3) and are generally places where people receive health care from a trained professional and include public, private, and faith-based facilities
Workplaces		Farm, agriculture, military base, municipal building, office, factory	Workers and patrons (if applicable)	Formal workplaces include "corporations (including quasi-corporate enterprises), non-profit institutions, unincorporated enterprises owned by government units, and those private unincorporated enterprises producing goods or services for sale or barter which are not part of the informal sector" (Hussmanns, 2004, p. 5). Informal workplaces are those where "(1) workers employed with no social contributions paid; (2) people employed in a private unregistered firm; and (3) the employed who work at home, from door-to-door, in the flea market and in other places" (Sanfey, 2010, p. 3)
Temporary use settings	Restaurants	Cafeteria, canteen, fast food, restaurant	Patrons and workers	"A place that sells meals prepared and serviced on the premises (Last, 2007a)"
	Accommodations	Hotel, inn, motel, hostel, campsite	Patrons and workers	A place where a patron pays for temporary lodging (Beaver, 2012a)
	Transportation hubs	Rail station, bus station, ship port, truck stations, lorry parks, airports	Travelers and workers	Also known as a transportation interchange or an interchange point.  Transportation hubs are, "a public transportation terminus where passengers may change services to make onward journeys, this often being facilitated by convenient timetabling and the availability of several transport modes (Beaver, 2012b)."
	Transportation vehicles	Train, bus, ship, truck (lorry), airplane	Passengers	"A means of conveyance, often with wheels and often self-propelled, for transporting goods and people (Atkins and Escudier, 2013)"
	Markets	Food market, grocery	Patrons and workers	A setting where goods, primarily food, are sold and purchased by communities (WHO, 2006)
	Places of worship	Church, Mosque, synagogue, temple, shrine chapel	Worshipers	A building or structure where individuals or groups of people gather to perform religious activities and services (Oxford University Press, 2014a)
	Public WaSH facilities	Public toilet, public drinking water fountain	Estimated number of patrons	A toilet or water fountain for public use (Oxford University Press, 2014b)
Mass gatherings		Hajj, World Cup, Olympics, State events (e.g. funeral, inauguration), fairs, festivals	Estimated number of visitors	"A gathering of persons at a specific location for a specific purpose (a social function, large public event or sports competition) for a defined period of time. An organized or unplanned event can be classified as a mass gathering if the number of people attending is sufficient to strain the planning and response resources of the community, state or nation hosting the event (WHO, 2008)"
Dislocated populations	Internally displaced person (IDP) camps	IDP camps	People in the camp and staff (if applicable)	"A temporary place of sanctuary for people who have been displaced from their usual home and habitat by natural or manmade disaster, typically violent armed conflict those who do not leave [their country] are described as internally displaced persons" (Last, 2007b)
	Refugee camps	Refugee camps	People in the camp and staff (if applicable)	"A temporary place of sanctuary for people who have been displaced from their usual home and habitat by natural or manmade disaster, typically violent armed conflict for those who leave their country" (Last, 2007b)
	Prisons	Prisons, detentions, places of internment	Detainees and staff (if applicable)	An institution that interns, detains, incarcerates an individual for offenses against the law (Nebrini, 2013)
	Orphanages	Orphan asylum, group homes, children's homes, refuges, rehabilitation centers, night shelters, youth	Children and staff	"An institution for children who have no parents because their parent(s) have died or abandoned them and no other close relations are able to care for them" (Last, 2007c)

treatment center

**Table 2b**Description of characteristics of non-household settings relevant for WaSH monitoring.

Settings		Principal international actor(s)	Principal national actor(s)	International standards and/or guidelines	Systematic review(s) on health and/or non-health related outcomes from WaSH
Schools		United Nations Educational, Scientific and Cultural Organization (UNESCO), UNICEF, WHO	Ministry of Education	UNICEF, WHO, The Sphere Project – Humanitarian Charter and Minimum Standards in Humanitarian Response (Sphere Project, 2011)	Jasper et al. (2012) on health and educational outcomes of WaSH in schools; Dickson et al. (2011) on provision of toilets for girls at school
Health care faciliti	ies	UNICEF, WHO	Ministry of Health	WHO, Sphere	Anaissie et al. (2002) on hospital water supply as a source of nosocomial infections; Erasmus et al. (2010) describes role of hand hygiene
Workplaces		International Labor Organization (ILO)	Ministry of Labor	None sufficient; general guidance by Work Improvement in Small Enterprises (WISE+) (ILO, 2009)	None identified
Temporary use settings	Restaurants	None identified	Ministry of Health and/or Environmental Health	None identified	None identified
	Accommodations	None identified	Ministry of Health and/or Environmental Health	None identified	None identified
	Transport hubs	Local Governments for Sustainability (ICLEI)	Municipal authorities, private companies, mayor associations	None identified	None identified
	Transport vessels	None identified	Municipal authorities; private companies	WHO guide to ship sanitation (WHO, 2011b); none sufficient for other vessels	Rooney et al. (2004) on ship sanitation
	Markets	WHO (though limited involvement)	Municipal authorities	None sufficient though some guidance in Healthy Food Marketplaces (WHO, 2006)	None identified
	Places of worship	Global governing body of the religious institution	National governing body of the religious institution	None identified	None identified
	Public WaSH facilities	None identified	Ministry of Works; Water and Sanitation	None identified	None identified
Mass gatherings		WHO (though limited involvement)	Context specific; often Ministry of Health	None identified	None identified
Dislocated populations	Internally displaced person camps	The Office of the United Nations High Commissioner for Refugees (UNHCR)	All countries are required to make provision and allocate responsibility under international law	UNHCR, Guiding Principles on Internal Displacement (Deng, 1998), Sphere	Cronin et al. (2008) on provision of water and sanitation in refugee camps and impact on health indicators
	Refugee camps	UNHCR	None identified, though states have an obligation for refugees	Sphere	Cronin et al., 2008
	Prisons	International Committee of the Red Cross (ICRC)	National prison agency	ICRC, WHO	None identified
	Orphanages	None identified	Non-governmental organizations (NGOs)	None identified	None identified

**Table 3**Select national and sub-national non-household monitoring initiatives that include WaSH.

Monitoring level	Monitoring initiative	Examples in practice	Applicable settings	Institutional data coordinator	Sampling design	Population multiplier	Estimated data sets available	Frequency of reporting	Data provider/collector
National	Educational Management Information System (EMIS)	Ghana EMIS, India District Information System for Education (DISE)	Education facilities	Ministry of Education	National or sub-national enumeration	Students and teachers	30+	Annually	School teachers or headmaster via survey
National	Health Management Information System (HMIS)	Kenya, Uganda, Zambia	Health care facilities	Ministry of Health	National or sub-national enumeration	Facility	10+	Quarterly, annually	Health care facility employee
	Water Sector Management Information System (WSMIS)	Directorate of Water Development Management Information System (Uganda)	All settings (most focus on education and health care facilities)	National Government Ministry (e.g. water, environment)	National or sub-national enumeration	Facility	Unknown	Annually	Water sector professional
	Service Provision Assessment (SPA)	Egypt, Guyana, Haiti, Kenya	Health care facilities	DHS Program	Stratified random sample	Facility	15+ countries	Every three to five years	Trained enumerator
	Service Availability and Readiness Assessment (SARA)	Burkina Faso, Benin, Sierra Leone, Tanzania, Zambia	Health care facilities	WHO	Stratified random sample	Facility	8+	Every three to five years	Trained enumerator
	Service Delivery Indicators (SDI)	Kenya, Senegal, Tanzania, Uganda	Education and health care facilities	World Bank and collaborators	Stratified random sample	Facility	5+	Every two years	Trained enumerator
	Facility surveys, inventories, and censuses	Bangladesh schools, health care facilities, and restaurants, Ethiopia census of schools and health care facilities	All settings	UNICEF, WHO, USAID, and others	Random sample or complete enumeration	Facility	30+ schools, 10+ health care facilities	One-time studies; often baseline surveys	Trained enumerator
Sub-national	Program/project initiatives	NGO reports, impact assessments, journal publications	All settings (most focus on education and health care facilities)	Context specific; often NGOs and researchers	Random sample or complete enumeration	Varies; typically facility	Many, though not all are publicly available	Generally one-off studies, length of project	Trained enumerator
	Local/district initiatives	Ghana District Level Environmental Strategies and Action Plans (DESSAPs)	All settings	District agency	Generally complete enumeration	Facility	Many, though not many are publicly available	Annually	Government employee

**Table 4**WaSH in non-household settings coverage in low- and middle-income countries.

WHO region	Country	Year	Monitoring initiative	Survey coverage	Setting	Improved water source coverage	Improved sanitation coverage	Soap for hand washing coverage
A C	Ethiopia (MoWE,	2012	National WASH	National census	HCF	32%	85%	Not available
Africa	2012)		Inventory		Schools	31%	33%	Not available
A f:	Tanzania (AERC,	2010	Service Delivery	Nationally	HCF	81%	90%	Not available
Africa	2013)		Indicators	representative	Schools	70%	96%	Not available
Africa	Zambia (MoH, 2010)	2010	Service Availability and Readiness Assessment	Sub-national	HCF	88%	95%	97%
Americas	Haiti (IHE and ICF, 2014)	2014	Service Provision Assessment	National census	HCF	65%	46%	50%
Eastern Mediterranean	Egypt (Ministry of Health and Population et al., 2005)	2002	Service Provision Assessment	Nationally representative	HCF	88%	78%	71%
Eastern Mediterranean	Iraq (UNICEF and EE, 2012)	2012	UNICEF Baseline Assessment Report	98 of 111 districts	Schools	95% (with access), 46% (with sufficient quantities)	95% (with access), 53% (with sufficient quality)	Not available
Europe	Tajikistan (WHO-EURO, 2010)	2008	WHO Rapid Health Facility Assessment	Sub-national	HCF	38%	43%	Not available
	Bangladesh (ICCDR,	2014	Survey	Nationally	Restaurants	94%	12%	91%
South East Asia	b and WaterAid, 2014)		·	representative	Schools	80%	84% (functional, improved toilets); only 45% of toilets were accessible	27% (hand washir location with wat and soap available
					HCF	97%	53%	79%
South East Asia	India (NUEPA, 2012)	2011	District Information System for Education (DISE)	Complete enumeration	Schools	93%	80%	Not available
Western Pacific	Mongolia (Spiegel et al., 2011)	2011	Situational analysis for the WHO Global Initiative for Emergency and Essential Surgical Care	Sub-national assessment of hospitals	HCF	45%	Not available	Not available

 Table 5

 Indicators for WaSH in non-household settings recommended by the WHO/UNICEF Joint Monitoring Programme (from WHO/UNICEF, 2014).

Indicators	Schools	Health care facilities (HCF)
Water	<ul> <li>Percentage of primary and secondary schools with an improved source on or near premises and water points accessible to all users during school hours</li> </ul>	• Percentage of health facilities with an improved source on premises and water points accessible to all users at all times
Sanitation	<ul> <li>Percentage of primary and secondary schools with basic separated sanitation facilities for males and females on or near premises (at least one toilet for every 25 girls, at least one toilet for female school staff, a minimum of one toilet and one urinal for every 50 boys and at least one toilet for male school staff)</li> <li>Percentage of primary and secondary schools with basic separated sanitation facilities for females that provide privacy; soap, water and space for washing hands, private parts and clothes; and places for changing and disposing of materials used for managing menstruation</li> </ul>	<ul> <li>Percentage of health facilities with basic separated sanitation facilities for males and females on or near premises (at least one toilet for every 20 users at inpatient centers, at least four toilets – one each for staff, female, male and child patients – at outpatient centers)</li> <li>Percentage of health facilities with basic separated sanitation facilities for females that provide privacy; soap, water and space for washing hands, private parts and clothes; and places for changing and disposing of materials used for managing menstruation</li> </ul>
Hygiene	<ul> <li>Percentage of primary and secondary schools with a handwashing facility with soap and water in or near sanitation facilities</li> </ul>	<ul> <li>Percentage of health facilities with a handwashing facility with soap and water in or near sanitation facilities, food preparation areas and patient care areas</li> </ul>

with targets and national plans have far greater WaSH coverage in HCF suggesting targets and plans may be drivers for increased coverage (World Health Organization, 2015).

Experience suggests non-household WaSH monitoring is viable. To achieve robust national and international monitoring of WaSH in non-household settings, we suggest several steps are necessary. They include the development of a monitoring framework for improving and expanding monitoring over time, developing setting-specific standards and guidelines, increasing the capacity of national level actors to monitor non-household settings, establishing clear roles and responsibilities for actors involved in monitoring, and translating monitoring data into actionable evidence for use by policy makers and service delivery providers.

A framework that links monitoring at different levels (e.g. local, national, international) allows for efficient data collection that is useful to multiple stakeholders (Bradley and Bartram, 2013). Within such a framework, there is a need for robust indicators and definitions, such as those proposed by the JMP for the post-2015 development agenda (Table 5) to understand status and trends in coverage which enables effective and efficient targeting of financial resources (WHO/UNICEF, 2014).

Opportunities are available to include WaSH indicators within existing non-household monitoring initiatives which would reduce the need for additional data collection instruments, monitoring costs, and human resources. For example, World Bank Enterprise surveys could assess the status of WaSH conditions in workplaces. Local government monitoring could also play an expanded role in gathering non-household WaSH data, as demonstrated by the DESSAP in Ghana (Ministry of Local Government, 2007).

WaSH standards and guidelines provide benchmarks against which to monitor settings. Few are available and further work is necessary to define adequate WaSH standards and guidelines for settings such as markets and orphanages. Standards and guidelines need to be further differentiated within each type; for example, standards for health clinics need to be different than those for hospitals since each performs different health care functions, provides services for different types of vulnerable patients, and has different WaSH requirements. These standards should be designed using a 'laddered' approach such that basic, intermediate, and high levels of service are defined and countries have a mechanism by which to measure progress beyond basic levels of service. These standards and guidelines need to be evaluated and adjusted over time as countries increase coverage of WaSH services.

To enable implementation of WaSH monitoring in non-household settings, organizations such as WHO and UNICEF should lead consultations with a broad set of stakeholders including central and local governments, civil society, and academia.

These organizations can lead the development of evidence-based standards, guidelines, and indicators for non-household settings beyond schools and health care facilities. Organizations such as the International Labor Organization, the World Bank, and the United Nations Educational, Scientific and Cultural Organization (UNESCO) should be included. Outputs of these consultations can be used in partnerships such as Sanitation and Water for All to encourage national governments to prioritize WaSH in non-household settings.

WHO and UNICEF have already taken leadership on schools and health care facilities which provide examples for how other settings might be addressed. Indicators in Table 5 were developed through a series of technical consultations that assessed available evidence on water, health, and development and setting specific evidence for schools and health care facilities. A further example includes WHO and UNICEF consultations to improve existing WaSH in schools guidelines and engaged stakeholders in middle and high income countries in the WHO European region (WHO-EURO, 2014). WHO and UNICEF, in addition to a broad array of WaSH actors, have generated awareness and political prioritization for WaSH in health care facilities (World Health Organization, 2015). The UN-Water GLAAS report has identified gaps in policies, plans, and resources and raised awareness for prioritization of these settings (World Health Organization, 2014). Policy survey instruments like GLAAS might be used to rapidly assess status of monitoring WaSH in other non-household settings.

Our review reveals a wide array of actors who conduct and support monitoring of WaSH in non-household settings. Coordination of non-household monitoring efforts may be a challenge due to lack of leadership and accountability regarding which ministry or organization is responsible for ensuring service provision and monitoring of those services (World Health Organization, 2015). Therefore, establishing clear roles and responsibilities of local and national level actors is an important step. The ministries or organizations responsible for building, constructing, and maintaining non-household settings should also lead the provision of WaSH with the support of other agencies such as the Ministry of Water and Ministry of Local Government. Improving monitoring systems requires an increase in human resources capacity and technical capacity to operate and maintain systems and validate data quality.

At present, cluster randomized sample facility surveys provide the bulk of the data but national information systems are a more sustainable option for future data provision. National information systems can provide more up-to date information (measured multiple times per year rather than once every several years through a survey-based initiative). Conducting facility surveys, such as SARA, SDI, or SPA, in coordination with national information system data collection will help to validate those systems and assess data quality as they are enhanced and improved.

Data from these systems can be used to analyze relationships between WaSH service provision and educational, environmental, and health outcomes, as demonstrated by a study from India (Adukia, 2013). Such analysis can be used to show the impacts of WaSH provision in these settings to inform policy makers who make decisions on where to invest scarce resources.

#### Conclusion

WaSH in non-household settings is important for health, development, and human rights. Monitoring enables service providers to identify where services are lacking to make improvements. Through this review, we show that examples of successful nonhousehold WaSH monitoring systems exist in different world regions but not all countries are monitoring. Monitoring initiatives are limited to a small number of setting types. Advancing monitoring and investing resources into capacity building for initiatives will help to improve data collection and allow for more efficient targeting of resources to improve WaSH. Leadership provided by national level actors who manage monitoring systems and by international agencies such as the JMP can help to advance the development of guidelines, standards and indicators for adoption at the country level. Evidence gathered through this review suggests that harmonization of indicators and instruments for global monitoring is rapidly achievable in the post-2015 development period.

#### Acknowledgements

This work was supported by the Water Working Group of the WHO/UNICEF JMP (www.wssinfo.org) and by the Conrad N. Hilton Foundation. The funders had no role in study design, data collection and analysis, or decision to publish. We thank colleagues from the JMP post-2015 working groups for their inputs to this manuscript.

# References

- Adukia, A., 2013. Sanitation and Education. Harvard University, Cambridge, Retrieved from: http://scholar.harvard.edu/files/adukia/files/adukia\_sanitation\_and\_education.pdf (07.09.14).
- African Economic Research Consortium (AERC), 2013. Service Delivery Indicators: Pilot in Education and Health Care in Tanzania. AERC, Nairobi.
- Allegranzi, B., Nejad, S.B., Combescure, C., Graafmans, W., Attar, H., Donaldson, L., Pittet, D., 2011. Burden of endemic health-care-associated infection in developing countries: systematic review and meta-analysis. Lancet 377 (9761), 228–241.
- Anaissie, E.J., Penzak, S.R., Dignani, M.C., 2002. The hospital water supply as a source of nosocomial infections: a plea for action. Arch. Intern. Med. 162 (13), 1483.
- Atkins, T., Escudier, M., 2013. Vehicle. A Dictionary of Mechanical Engineering. Oxford University Press, Oxford, Retrieved from http://www.oxfordreference.com/view/10.1093/acref/9780199587438.001.0001/acref-9780199587438-e-7138 (05.09.14).
- Bailey, K.D. (Ed.), 1994. Typologies and Taxonomies: An Introduction to Classification Techniques, vol. 102. Sage, Thousand Oaks.
- Bain, R., Cronk, R., Wright, J., Yang, H., Slaymaker, T., Bartram, J., 2014. Fecal contamination of drinking-water in low and middle-income countries: a systematic review and meta-analysis. PLoS Med. 11 (5), e1001644, http://dx.doi.org/10.1371/journal.pmed.1001644.
- Bartram, J., 2008. Improving on haves and have nots. Commentary. Nature 452 (March (20)).
- Beaver, A., 2012a. Accommodation. A Dictionary of Travel and Tourism. Oxford University Press, Oxford, Retrieved from http://www.oxfordreference.com/ view/10.1093/acref/9780191733987.001.0001/acref-9780191733987-e-101 (05.09.14).
- Beaver, A., 2012b. Interchange Point. A Dictionary of Travel and Tourism. Oxford University Press, Oxford, Retrieved from http://www.oxfordreference.com/view/10.1093/acref/9780191733987.001.0001/acref-9780191733987-e-3569 (05.09.14).
- Bradley, D.J., Bartram, J.K., 2013. Domestic water and sanitation as water security: monitoring, concepts and strategy. Philos. Trans. R. Soc. A: Math. Phys. Eng. Sci. 371 (2002), 20120420.
- Cairncross, S., Blumenthal, U., Kolsky, P., Moraes, L., Tayeh, A., 1996. The public and domestic domains in the transmission of disease. Trop. Med. Int. Health 1 (1), 27–34.

- Carrizo, L., Sauvageot, C., Bella, N., 2003. Information Tools for the Preparation and Monitoring of Education Plans. United Nations Education, Scientific and Cultural Organization (UNESCO), Paris, Retrieved from <a href="http://unesdoc.unesco.org/images/0013/001323/132306e.pdf">http://unesdoc.unesco.org/images/0013/001323/132306e.pdf</a> (07.09.14).
- Cronin, A., Shrestha, D., Cornier, N., Abdalla, F., Ezard, N., Aramburu, C., 2008. A review of water and sanitation provision in refugee camps in association with selected health and nutrition indicators the need for integrated service provision. J. Water Health, 1–13, http://dx.doi.org/10.2166/wh.2007.019.
- Deng, F., E/CN.4/1998/53 February 11 1998. The Guiding Principles on Internal Displacement. United Nations, New York, NY.
- Dickson, K., Freeman, M., Javidi, L., 2011. What Impact Does the Provision of Separate Toilets for Girls at Schools Have on Their Primary and Secondary School Enrolment, Attendance and Completion? A Systematic Review of the Evidence. EPPI-Centre, Social Science Research Unit, Institute of Education, University of London, London, UK.
- Erasmus, V., Daha, T.J., Brug, H., Richardus, J.H., Behrendt, M.D., Vos, M.C., van Beeck, E.F., 2010. Systematic review of studies on compliance with hand hygiene guidelines in hospital care. Infect. Control Hosp. Epidemiol. 31 (3), 283–294.
- Groce, N., Bailey, N., Lang, R., Trani, J.F., Kett, M., 2011. Water and sanitation issues for persons with disabilities in low- and middle-income countries: a literature review and discussion of implications for global health and international development. J. Water Health 9 (4), 617–627, http://dx.doi.org/10.2166/wh.2011.198.
- Hussmanns, R., 2004. Statistical Definition of Informal Employment: Guidelines Endorsed by the Seventeenth International Conference of Labour Statisticians (2003). International Labour Office, Geneva, Switzerland.
- ICCDR B and WaterAid Bangladesh, 2014. Bangladesh National Hygiene Baseline Survey Preliminary Report, Retrieved from http://www.wateraid.org//media/Publications/bnhbs.pdf (05.09.14).
- Institut Haïtien de l'Enfance (IHE) et ICF International (ICF), 2014. Évaluation de Prestation des Services de Soins de Santé, Haïti, 2013. IHE et ICF International, Rockville, MD, USA.
- International Labor Organization (ILO), 2009. Work Improvement in Small Enterprises (WISE). Action Manual. International Labor Office, Geneva.
- Jasper, C., Le, T., Bartram, J., 2012. Water and sanitation in schools: a systematic review of the health and educational outcomes. Int. J. Environ. Res. Public Health 9 (8), 2772–2787.
- Kostyla, C., Bain, R., Cronk, R., Bartram, J., 2015. Seasonal variation of fecal contamination in drinking water sources in developing countries: a systematic review. Sci. Total Environ. 514, 333–343, http://dx.doi.org/10.1016/j.scitotenv.2015.01.018.
- Last, J. (Ed.), 2007a. Restaurant. A Dictionary of Public Health. Oxford University Press, New York, Retrieved from http://www.oxfordreference.com/view/10.1093/acref/9780195160901.001.0001/acref-9780195160901-e-3906 (15.08.14)
- Last, J. (Ed.), 2007b. Refugee Camp. A Dictionary of Public Health. Oxford University Press, New York, Retrieved from http://www.oxfordreference.com/view/10.1093/acref/9780195160901.001.0001/acref-9780195160901-e-3849 (11.07.13).
- Last, J. (Ed.), 2007c. Orphanage. A Dictionary of Public Health. Oxford University Press, New York, Retrieved from http://www.oxfordreference.com/view/10.1093/acref/9780195160901.001.0001/acref-9780195160901-e-3256 (11.07.13)
- Ministry of Health and Population, El-Zanaty Associates, and ORC Macro, 2005. Egypt Service Provision Assessment Survey 2004. Ministry of Health and Population and ORC Macro, Calverton, MD, USA, Retrieved from <a href="http://www.measuredhs.com/pubs/pdf/SPA7/SPA7.pdf">http://www.measuredhs.com/pubs/pdf/SPA7/SPA7.pdf</a> (11.07.13).
- Ministry of Local Government, Rural Development and Environment, 2007. Draft Handbook for Preparation of District Environmental Sanitation Strategy and Action Plan (DESSAPs). Republic of Ghana, Retrieved from http://wcghana.com/reports/district\_environmental\_sanitation\_strategy\_and\_action\_plan handbook.pdf (22.01.15).
- Ministry of Health (MoH), 2010. Zambia Service Availability and Readiness Assessment 2010 Summary Report. Lusanka, Republic of Zambia, Retrieved from <a href="http://www.who.int/healthinfo/systems/zmb\_sara\_report\_2010\_web.pdf?ua=1">http://www.who.int/healthinfo/systems/zmb\_sara\_report\_2010\_web.pdf?ua=1</a> (07.09.14).
- Ministry of Water and Energy Ethiopia (MoWE), 2012. National WASH Inventory. Progress and M&E MIS Report.
- Nebrini, P., 2013. Water, Sanitation, Hygiene, and Habitat in Prisons. International Committee of the Red Cross, Geneva, Retrieved from http://www.icrc.org/eng/resources/documents/publication/p0823.htm (07.09.14).
- National University of Educational Planning and Administration (NUEPA), 2012. District Education Systems for Education (DISE), Retrieved from <a href="http://www.dise.in">http://www.dise.in</a> (11.07.13).
- O'Neill, K., Takane, M., Sheffel, A., Abou-Zahr, C., Boerma, T., 2013. Monitoring service delivery for universal health coverage: the Service Availability and Readiness Assessment. Bull. World Health Organ. 91 (12), 923–931.
- Oxford University Press, 2014a. place, n.1. OED Online. Oxford University Press, Oxford, Retrieved from http://www.oed.com/view/Entry/144864? rskey=QpFRyG&result=1 (15.08.14).
- Oxford University Press, 2014b. public, adj. and n. OED Online. Oxford University Press, Oxford, Retrieved from http://www.oed.com/view/Entry/154052? redirectedFrom=public+toilet (15.08.14).
- Rooney, R.M., Bartram, J.K., Cramer, E.H., Mantha, S., Nichols, G., Suraj, R., Todd, E.C., 2004. A review of outbreaks of waterborne disease associated with ships: evidence for risk management. Public Health Rep. 119 (4), 435.

- Sanfey, P., 2010. Earnings Inequality and the Informal Economy: Evidence from Serbia. ILO Working Papers, Retrieved from http://ideas.repec.org/ p/ebd/wpaper/114.html (07.09.14).
- Shields, K., Bain, R., Cronk, R., Wright, J., Bartram, J., 2015. Influence of supply type on relative safety of source and stored drinking water in developing countries: a bivariate meta-analysis. Environ. Health Perspect. (provisionally accepted).
- Sphere Project, 2011. Humanitarian Charter and Minimum Standards in Humanitarian Response, Retrieved from http://www.sphereproject.org/resources/download-publications/?search=1&keywords=&language=English&category=22 (26.06.12).
- Spiegel, D.A., Choo, S., Cherian, M., Orgoi, S., Kehrer, B., Price, R.R., Govind, S., 2011. Quantifying surgical and anesthetic availability at primary health facilities in Mongolia. World J. Surg. 35 (2), 272–279.
- UN Special Rapporteur on the Human Right to Safe Drinking Water and Sanitation, 2012. Report to the General Assembly, Integrating Non-discrimination and Equality into the Post-2015 Development Agenda for Water, Sanitation and Hygiene, 8 August 2012. UN Doc. A/67/270.
- UNICEF, 2011. WASH in Schools Monitoring Package. United Nations Children's Fund, Unite for Children, Retrieved from http://www.unicef.org/WaSH/schools/WaSHinschools\_53115.html (15.06.13).
- UNICEF, 2012. Raising Even More Clean Hands: Advancing Health. Learning and Equity Through WASH in Schools, Retrieved from http://www.unicef.org/wash/schools/files/Raising\_Even\_More\_Clean\_Hands\_Web\_17\_October\_2012(1).pdf (08.11.13).
- UNICEF, 2014. Water, Sanitation, and Hygiene Annual Report 2013. United Nations Children's Fund, New York.
- UNICEF and Encyclopedia Engineering & Business Management Consulting (EE), 2012. Water, Sanitation and Hygiene (WASH) in Primary Schools in 49 Districts, Iraq
- WHO/UNICEF, 2014. WASH Post-2015: Proposed Targets and Indicators for Drinking-water, Sanitation and Hygiene, Retrieved from http://www.wssinfo.org/fileadmin/user\_upload/resources/post-2015-WASH-targets-factsheet-12pp.pdf (07.09.14).
- World Health Organization Regional Office for Europe (WHO-EURO), 2010. Health Assessment for Tajikistan. WHO Regional Office for Europe, Copenhagen, Retrieved from http://www.euro.who.int/\_data/assets/pdf\_file/0006/114927/E93575.pdf (22.01.15).
- World Health Organization Regional Office for Europe (WHO-EURO), 2014. Meeting on Advancing Water, Sanitation and Hygiene in Schools.

- World Bank, 2014. Enterprise Surveys: Survey Methodology, Retrieved from http://www.enterprisesurveys.org/Methodology (22.01.15).
- World Health Organization, 2006. A Guide to Healthy Food Markets. World Health Organization, Geneva, Retrieved 11 July 2013 from <a href="http://www.who.int/foodsafety/publications/capacity/healthymarket.guide.pdf">http://www.who.int/foodsafety/publications/capacity/healthymarket.guide.pdf</a>
- World Health Organization, 2008a. Essential Environmental Health Standards in Health Care. World Health Organization, Geneva, Retrieved from <a href="http://whqlibdoc.who.int/publications/2008/9789241547239\_eng.pdf">http://whqlibdoc.who.int/publications/2008/9789241547239\_eng.pdf</a> (15.07.13).
- World Health Organization, 2008b. Communicable disease alert and response for mass gatherings. In: Technical Workshop, Geneva, Switzerland, April 29–30, 2008, Retrieved from http://www.who.int/csr/Mass\_gatherings2.pdf (15.07.13).
- World Health Organization, 2009. Water, Sanitation and Hygiene Standards for Schools in Low-cost Settings. World Health Organization, Geneva, Retrieved from <a href="http://www.who.int/water\_sanitation\_health/publications/wash\_standards\_school.pdf">http://www.who.int/water\_sanitation\_health/publications/wash\_standards\_school.pdf</a> (15.07.13).
- World Health Organization, 2010. Monitoring the Building Blocks of Health Systems:

  A Handbook of Indicators and Their Measurement Strategies. World Health Organization, Geneva.
- World Health Organization, 2011a. World Report on Disability, Retrieved from <a href="http://www.who.int/disabilities/world\_report/2011/en/index.html">http://www.who.int/disabilities/world\_report/2011/en/index.html</a> (27.06.12). World Health Organization, 2011b. Guide to Ship Sanitation. World Health
- World Health Organization, 2011b. Guide to Ship Sanitation. World Health Organization, Geneva, Retrieved from http://whqlibdoc.who.int/publications/2011/9789241546690.eng.pdf (11.07.13).
- World Health Organization, 2011c. Measuring Service Availability and Readiness: A Health Facility Assessment for Monitoring Health System Strengthening. World Health Organization, Geneva.
- World Health Organization, 2014. UN-Water Global Analysis and Assessment of Sanitation and Drinking-Water (GLAAS) 2014 Report: Investing in Water and Sanitation: Increasing Access, Reducing Inequalities. World Health Organization, Geneva, Retrieved from http://apps.who.int/iris/bitstream/10665/139735/1/9789241508087\_eng.pdf (22.01.15).
- World Health Organization and UNICEF, 2015. Water, Sanitation and Hygiene in Health Care Facilities: Status in Low and Middle Income Countries and Way Forward. World Health Organization, Geneva, Retrieved from http://apps. who.int/iris/bitstream/10665/154588/1/9789241508476.eng.pdf?ua=1 (24.3.15).